

Progress Report including Assurance Opinions

| Department | Activity Name | Priority | Activity Status | Risk Opinion | Control Opinion | Reported to Audit and Governance Committee | Comments |
|----------------------|--|----------|---------------------|----------------|-----------------|--|--|
| Council Wide | Staff Appraisal System | Medium | Planned | | | | Brought Forward from 2016/17 plan |
| Council Wide | IT Disaster Recovery and Business Continuity | High | Cancelled | | | | Cancelled based on Information Governance Board decision to prevent duplication of assurance. Management led review in progress. |
| Council Wide | Officers' Code of Conduct | High | Final Report Issued | Satisfactory | Limited | 18/09/2017 | |
| Council Wide | Complaints Handling | High | Final Report Issued | Satisfactory | Satisfactory | 20/11/2017 | |
| Council Wide | Scheme of Delegation | High | Planned | | | | |
| Council Wide | Together Gloucester | High | Planned | | | | |
| Council Wide | Network Access Controls | High | Audit in Progress | | | | |
| Council Wide | Communications Service | High | Audit in Progress | | | | |
| Council Wide | Cyber Security (Ransomware) | High | Planned | | | | New activity |
| Council Wide | ICT Assurance Mapping (including policy review) | High | Audit in Progress | | | | New activity (consultancy) |
| Communities | Choice Based Lettings | High | Final Report Issued | Satisfactory | Satisfactory | 20/11/2017 | |
| Communities | Project Solace | High | Final Report Issued | Satisfactory | Limited | 22/01/2018 | |
| Communities | Homelessness | High | Planned | | | | Brought Forward from 2016/17 plan |
| Cultural and Trading | Cemetery and Crematorium Services | High | Final Report Issued | Substantial | Substantial | 20/11/2017 | |
| Cultural and Trading | Marketing Gloucester Ltd (MGL) follow up review | High | Final Report Issued | Satisfactory | Satisfactory | 18/09/2017 | |
| Cultural and Trading | Marketing Gloucester Ltd (MGL) Value for Money review | High | Final Report Issued | Not Applicable | Not Applicable | 18/09/2017 | |
| Cultural and Trading | Museums | Medium | Planned | | | | |
| Cultural and Trading | Box office ticketing and shop-café-bar System | High | Final Report Issued | Satisfactory | Satisfactory | 20/11/2017 | |
| Cultural and Trading | Online Lottery | Medium | Draft Report Issued | | | | |
| Place | CCTV | Medium | Planned | Not Applicable | Not Applicable | | |
| Place | Kings Quarter Development | High | Audit in Progress | | | | |
| Place | Licensing (Business Licenses) | Medium | Cancelled | | | | Management led review to be completed. Area to be considered as part of 2018/19 plan. |
| Place | Planning - Processing and Performance | High | Deferred | | | | Deferred to 2018/19 plan, due to requested prioritisation of the planning appeal review (new activity) |
| Place | Planning Appeal | High | Final Report Issued | Not Applicable | Not Applicable | 20/11/2017 | New activity |
| Place | Amey - Streetcare contract follow up | High | Final Report Issued | Not Applicable | Not Applicable | 20/11/2017 | |
| Policy and Resources | Accounts Payable | High | Planned | | | | |
| Policy and Resources | Accounts Receivable | High | Audit in Progress | | | | |
| Policy and Resources | Benefits Uprating | High | Final Report Issued | Substantial | Substantial | 18/09/2017 | |
| Policy and Resources | Benefits - Key Controls 2017/18 | High | Final Report Issued | Satisfactory | Satisfactory | 22/01/2018 | |
| Policy and Resources | Blackfriars Priory Turnover Certification | High | Final Report Issued | Not Applicable | Not Applicable | 18/09/2017 | |
| Policy and Resources | Capital Accounting | High | Planned | | | | |
| Policy and Resources | Cash and Bank | High | Draft Report Issued | | | | |
| Policy and Resources | Council Tax - Setting the Base 2017/18 | High | Final Report Issued | Substantial | Substantial | 18/09/2017 | |
| Policy and Resources | Local Taxation - Key Financial Controls 2017/18 | High | Final Report Issued | Substantial | Substantial | 22/01/2018 | Council Tax and National Non-Domestic Rates (NNDR) combined internal audit |
| Policy and Resources | Councillors' Community Fund | Medium | Final Report Issued | Substantial | Satisfactory | 20/11/2017 | |
| Policy and Resources | Electoral Service | Medium | Cancelled | | | | GDPR project to review security of data within the Council (original requested audit scope) |
| Policy and Resources | New Financial Management System | High | Final Report Issued | Satisfactory | Satisfactory | 18/09/2017 | Brought Forward from 2016/17 plan |
| Policy and Resources | Main Accounting System (General Ledger) 2017/18 | High | Final Report Issued | Substantial | Substantial | 22/01/2018 | |
| Policy and Resources | National Non Domestic Rates (NNDR) Multipliers 2017/18 | High | Final Report Issued | Substantial | Substantial | 18/09/2017 | |
| Policy and Resources | Procurement | High | Planned | | | | |
| Policy and Resources | Payroll | High | Planned | | | | |
| Policy and Resources | Housing Discretionary Payments | High | Audit in Progress | | | | New activity requested by Audit and Governance Committee |
| | Exempt report | High | Final Report Issued | Satisfactory | Satisfactory | 20/11/2017 | Brought Forward from 2016/17 plan |