

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Governance Committee	Comments
Council Wide	Health and Safety Limited Assurance Second Follow Up	1	Planned				
Council Wide	Purchasing: Low and Intermediate Value Transactions Limited Assurance Follow Up	1	Audit in Progress				Brought forward from 19/20 plan.
Council Wide	IT Disaster Recovery and Business Continuity Follow Up	1	Planned				
Council Wide	Key ICT Project Governance	1	Final Report Issued	Satisfactory	Satisfactory	16/11/2020	Brought forward from 19/20 plan.
Council Wide	Patch management	1	Planned				
Council Wide	Cyber Security	1	Planned				
Council Wide	Complaints Procedures	2	Deferred				Deferral (awaiting implementation of new system) approved in the Revised Internal Audit Plan 20/21.
Council Wide	Travel and Other Expenses Limited Assurance Follow Up	1	Audit in Progress				
Council Wide	Safeguarding	2	Final Report Issued	Satisfactory	Satisfactory	14/09/2020	Brought forward from 19/20 plan.
Council Wide	Agency Staff	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Council Wide	Code of Conduct for employees	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Council Wide	Compliance with Flexi Time - Annual Leave policies	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Council Wide	Effective use of Probationary period	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Council Wide	Scheme of Sub Delegation	1	Audit in Progress				
Council Wide	Confidential Reporting Procedure	1	Planned				
Council Wide	Business Rate Grants	2	Consultancy				New activity. To be reported in 20/21 annual report.
Communities	Section 202 reviews	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Communities	Tenancy Rescue	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Communities	Gloucester Community Building Collective	1	Planned				
Communities	Voluntary and Community Sector (VCS) Grants – Covid 19	1	Final Report Issued	Substantial	Satisfactory	14/09/2020	New activity.
Cultural and Trading Services	Shopmobility Fees and Charges Limited Assurance Follow Up	2	Audit in Progress				
Cultural and Trading Services	Guildhall, Blackfriars and Museum – income received from events Limited Assurance Follow Up	1	Planned				
Cultural and Trading Services	City Events Programme	1	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Cultural and Trading Services	Museum of Gloucester – income from generic sales and exhibition admission fees	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Cultural and Trading Services	Staff Appraisal System	2	Consultancy				To be reported in 20/21 annual report.
Place	Community Infrastructure Levy (CIL)	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Place	Gloucester Car Boot and Flea Market	2	Cancelled				City no longer responsible for Car Boot.
Place	Licensing - Premises	2	Final Report Issued	Satisfactory	Satisfactory	16/11/2020	
Place	Street-care Contract – follow up	2	Planned				
Policy and Resources	Freedom of Information (FoI) requests	2	Final Report Issued	Satisfactory	Satisfactory	14/09/2020	Brought forward from 19/20 plan.
Policy and Resources	Housing Benefits	2	Final Report Issued	Satisfactory	Satisfactory	16/11/2020	Brought forward from 19/20 plan.
Policy and Resources	Property Investment Strategy	2	Audit in Progress				Brought forward from 19/20 plan.
Policy and Resources	Accounts Payable	2	Final Report Issued	Substantial	Substantial	16/11/2020	
Policy and Resources	Bank Reconciliation	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Policy and Resources	Turnover Certificate for Blackfriars Priory 2019/20	1	Final Report Issued	Not Applicable	Not Applicable	14/09/2020	
Policy and Resources	Cemeteries and Crematorium – compliance with GDPR	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Policy and Resources	Council Tax - refunds	1	Planned				
Policy and Resources	Debt Management (Sundry Debtors and Business Rates)	1	Audit in Progress				
Policy and Resources	Elections	1	Deferred				Deferral (no elections in 20/21) approved in the Revised Internal Audit Plan 20/21.
Policy and Resources	The Arbor – income collection	2	Deferred				Deferral (due to Covid) approved in the Revised Internal Audit Plan 20/21.
Policy and Resources	Building Control Shared Partnership Limited Assurance Follow Up	2	Planned				Terms of Reference issued.
Policy and Resources	Covid 19 – Housing Benefit Payments	1	Consultancy				New activity. To be reported in 20/21 annual report.
Policy and Resources	Data Capture Project	1	Consultancy				New activity. To be reported in 20/21 annual report.