

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Governance Committee	Comments
Exempt	Exempt	1	Final Report Issued	Satisfactory	Satisfactory	15/11/2021	Brought Forward from 20/21 plan.
Council Wide	Health and Safety Limited Assurance Second Follow Up	1	Planned				Discussion ongoing with key contacts to confirm if a February 22 activity start remains viable.
Council Wide	Complaints Procedure	2	Review in Progress				Consultancy review based on introduction of new system.
Council Wide	Agency Staff	2	Planned				Terms of reference issued.
Council Wide	Employee Code of Conduct	1	Planned				Deferral from 20/21 agreed through the Revised Internal Audit Plan 20/21.
Council Wide	Effective use of Probationary period	2	Planned				Deferral from 20/21 agreed through the Revised Internal Audit Plan 20/21.
Council Wide	Patch Management	1	Final Report Issued	Substantial	Substantial	13/09/2021	Brought Forward from 20/21 plan.
Council Wide	Cyber Security	1	Audit in Progress				Brought Forward from 20/21 plan.
Council Wide	Change Management	1	Deferred				Deferred for consideration within the Internal Audit Plan 22/23.
Council Wide	PCI DSS	2	Deferred				Deferred for consideration within the Internal Audit Plan 22/23.
Council Wide	Climate Change Strategy	1	Deferred				Deferred for consideration within the Internal Audit Plan 22/23.
Council Wide	Covid Recovery Scheme - Plan and Financial Pressures	1	Planned				
Council Wide	Data Breaches and Breach Reporting	2	Final Report Issued	Satisfactory	Satisfactory	15/11/2021	
Communities	Section 202 reviews	2	Planned				Deferral from 20/21 agreed through the Revised Internal Audit Plan 20/21. Terms of reference issued. Audit due to start in quarter 4 22/23.
Communities	Tenancy Rescue	2	Planned				Deferral from 20/21 agreed through the Revised Internal Audit Plan 20/21.
Communities	Social Values Policy	1	Final Report Issued	Not Applicable	Not Applicable	15/11/2021	
Communities	Disabled Facilities Grant	2	Final Report Issued	Satisfactory	Satisfactory	17/01/2022	
Communities	Homeless Outreach	2	Planned				Terms of reference issued.
Communities	Compliance and Enforcement Grant	1	Final Report Issued	Not Applicable	Not Applicable	13/09/2021	New Activity.
Cultural Services	Cultural Strategy Review	1	Review in Progress				Consultancy review across 21/22. Outcomes to be reported within the 21/22 Annual Report.
Cultural Services	Culture Recovery Fund - round 1	1	Final Report Issued	Not Applicable	Not Applicable	15/11/2021	New Activity.
Cultural Services	Culture Recovery Fund - round 2	1	Planned				New Activity. For quarter 4 21/22 delivery.
Cultural Services	Culture Recovery Fund - round 3	1	Planned				New Activity. For quarter 4 22/22 delivery.
Place	Community Infrastructure Levy (CIL) and Section 106	1	Audit in Progress				Deferral from 20/21 agreed through the Revised Internal Audit Plan 20/21. Activity planning and risk discussions completed within the Audit and Governance Committee Chair and the Cabinet Member for Planning and Housing Strategy.
Place	High Street Heritage Action Zone	2	Deferred				Deferred for consideration within the Internal Audit Plan 22/23.
Place	Recycling Commodities	2	Audit in Progress				
Place	Recycling Credits	2	Audit in Progress				
Place	Planning - Processing and Performance	2	Deferred				Deferred for consideration within the Internal Audit Plan 22/23.
Policy and Resources	Bank Reconciliation	1	Audit in Progress				Deferral from 20/21 agreed through the Revised Internal Audit Plan 20/21.
Policy and Resources	Cemeteries and Crematorium – compliance with GDPR	2	Deferred				Deferred for consideration within the Internal Audit Plan 22/23.
Policy and Resources	Election Accounts	1	Final Report Issued	Not Applicable	Not Applicable	17/01/2022	
Policy and Resources	Blackfriars Priory Turnover Certificate	1	Final Report Issued	Not Applicable	Not Applicable	13/09/2021	
Policy and Resources	Investment Portfolio Risk	1	Planned				
Policy and Resources	Technology Forge	1	Review in Progress				Consultancy review across 21/22. Outcomes to be reported within the 21/22 Annual Report.
Policy and Resources	Procurement Cards	2	Final Report Issued	Satisfactory	Satisfactory	15/11/2021	
Policy and Resources	Disposals - HKP	2	Planned				
Policy and Resources	Gloucestershire Airport	1	Planned				
Policy and Resources	Lost Sales, Fees and Charges Claim Three	1	Final Report Issued	Not Applicable	Not Applicable	13/09/2021	
Policy and Resources	Accounts Receivable	1	Final Report Issued	Satisfactory	Satisfactory	17/01/2022	